

SYPA Record of Breaches

Year	Ref	Date Identified	Type of Breach (e.g. personal data, contributions, criminal activity, etc)	Description	Action Taken in Response to Breach	Possible Impact (Red/Amber/Green)	Date Reported to Local Pension Board or Authority	Reported to Pensions Regulator or other statutory body (e.g. ICO)?	Reported to Data Protection Officer?	Details of any follow up actions taken/required or wider implications	Breach Open/Closed
2019/20	36	11/10/2019	Personal Data	Membership data for wrong member sent to another LGPS fund as part of a transfer process.	LGPS fund have deleted data sent in error.	Green	13/02/2020 (LPB)	NO	NO	No wider actions as data routinely shared between LGPS funds. Issue addressed with staff member.	Open pending any Board comments
2019/20	37	13/01/2020	Personal Data	Letter sent to member with incorrect name and NI number included.	Scheme member returned letter.	Green	13/02/2020 (LPB)	NO	NO	Template document was used but not updated. Issue addressed with staff member.	Open pending any Board comments